

## SFS Journals Citation and Reference Style

*The Review of Asset Pricing Studies*  
*The Review of Corporate Finance Studies*  
*The Review of Financial Studies*

Reference Style Guide: The Chicago Manual of Style (15th Edition)

This list shows some of the most common reference types, but it is not a comprehensive list. Accepted papers will be copy edited before publication. One of our copy editors can help you determine the best citation and reference style to use for less common reference types.

### **Citations**

When the author's name is in the text (CMS 16.112):

In a study by Brown (1987) . . .

When the text refers to a reference (CMS 16.112):

In this study (Garmaise and Moskowitz 1999) . . .

One citation:

(Brennan, Jegadeesh, and Swaminathan 1993)

For more than one citation:

(Rajan and Zingales 1995; Frank and Goyal 2003, 2007)  
(see, e.g., Ball and Torous 1984; Lo 1986; Ait-Sahalia 1999, 2002)

For more than three authors (CMS 16.118):

In a study by Zipursky et al. (1997) . . .  
The study (Zipursky et al. 1997) finds that

### **References**

Journal Article

Surname, First initial. Year. Title of paper. *Journal Title* Volume number:page range.

Baker, C. 2007. Failure to exercise call options. *Journal of Financial Markets* 11:35–49.

Brown, J., C. Scott, and M. Rose. 1966. The value of everything. *Journal of Review* 100:35–53.

Forthcoming

Surname, First initial. Forthcoming. Article title. *Journal Name*.

Gornall, W., and I. Strebulaev. Forthcoming. Finance as a supply chain. *Journal of Financial Economics*.

Advance Access

Surname, First initial. Year. Title of article. *Review of Financial Studies*. Advance Access published Month Day, Year, 10.1093/rfs/hhX###.

Jermann, Urban J. 2019. Negative swap spreads and limited arbitrage. *Review of Financial Studies*. Advance Access published March 13, 2019, 10.1093/rfs/hhz030.

Working Paper (if you can, list the university affiliation of the first author of the working paper)

Baird, D., and D. Bernstein. 2005. Absolute priority. Working Paper, University of Chicago Law School.

Book

Baker, C. 1980. *Title of book: A subtitle*, 2nd ed. Mineola, NY: Dover.

Book with Chapters with Editor Other than the Author

Geanakoplos, J. 2003. Liquidity: Endogenous contracts. In *Advances in economics and econometrics: Theory and applications II*, ed. Joseph William, 170–205. Cambridge, UK: Cambridge University Press.

Newspaper, magazine, or online article

With Author

Tsang, A. 2019. U.K. government to pay Eurotunnel £33 million over Brexit contracts. March 1. <https://www.nytimes.com/2019/03/01/business/eurotunnel-brexit-ferry.html>.

Unsigned

*Economist*. 2019. 3G Capital discovers the limits of cost-cutting and debt. March 2. <https://www.economist.com/leaders/2019/03/02/3g-capital-discovers-the-limits-of-cost-cutting-and-debt>

Report

Gann, C., L. Bowers, and T. Walton. 2018. Small area income and poverty estimates. Report, U.S. Census Bureau, Suitland, MD. <https://www.census.gov/library/publications/2018/demo/p30-04.html>

Preprint

Kappen, B., and V. Gome. 2009. Optimal control as a graphical model inference problem. arXiv, preprint, <http://arxiv.org/abs/0901.0633v2>.